

Introduction to ocean technologies for ocean observation and monitoring

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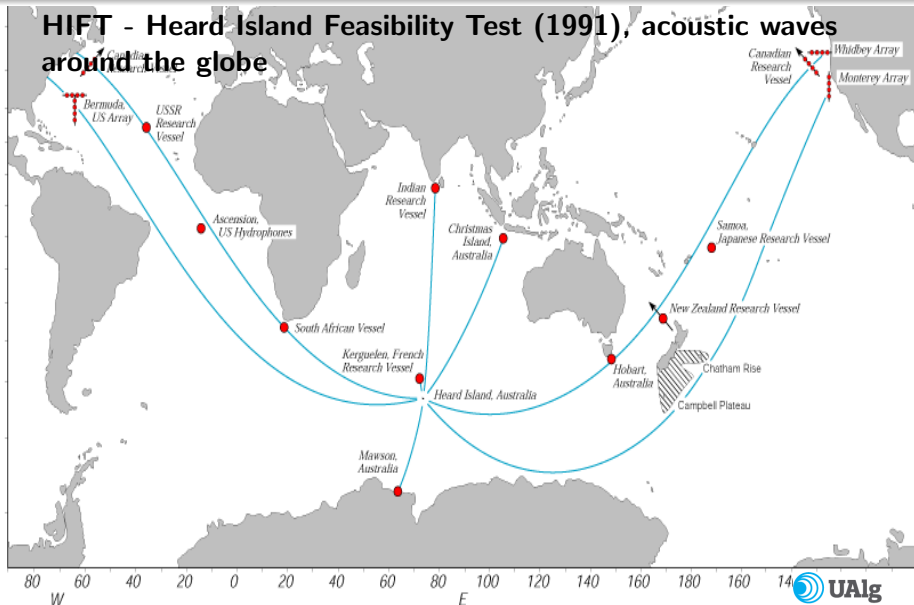
June 29, 2016

① Why acoustics and its role in ocean observation

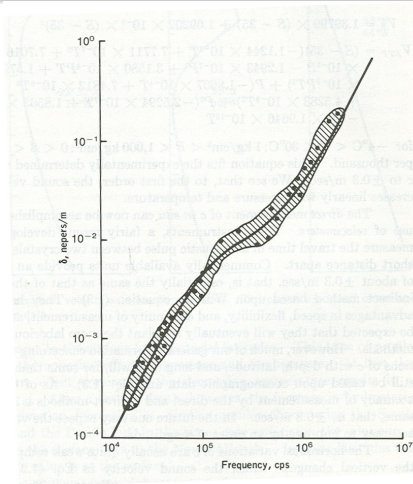
② Applications

active and passive sonar, echosounding and fishing, geotechnical and oil exploration, ocean thermometry and tomography, marine mammal monitoring, underwater communications, localization and navigation, port and waterway protection

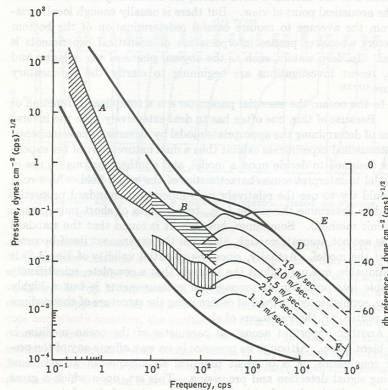
Why acoustics is different ?



Generalities: attenuation vs. ambient noise (1)

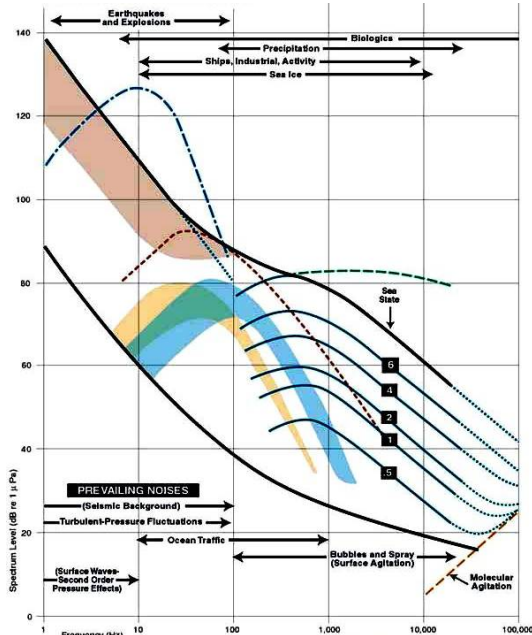


Sound attenuation at high freq
 ($T = 25^\circ\text{C}$, $S = 35$ ppt)
 (Tolstoy & Clay, AIP, New York, 1987)



Ambient noise power due to:
 seismic noise, thermic agitation
 rain, surface noise, etc.

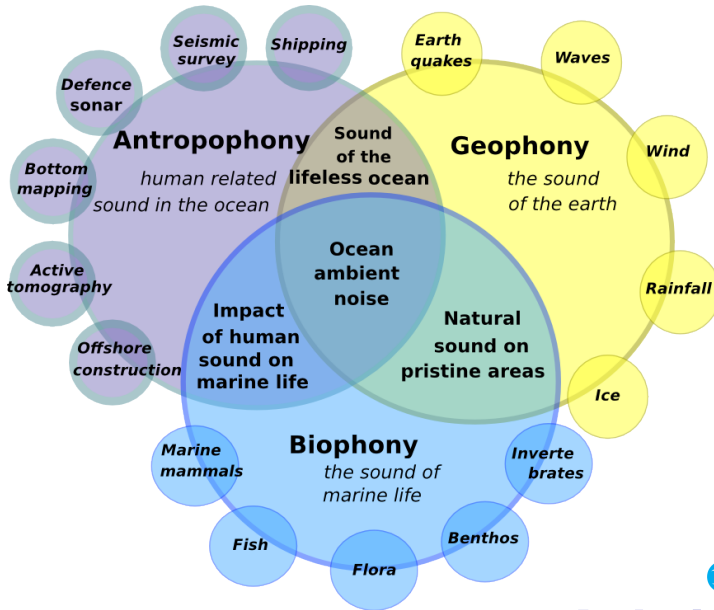
Generalities: ambient noise vs. frequency (2)



Ambient noise and interferences

- frequency dependance
- ground noise
 - earthquakes
 - explosions
 - construction
- biological
- shipping noise
 - distant shipping
 - man-made activity
- wind, waves, ice

Generalities: the underwater chorus (3)



Generalities: ocean sound imaging (4)

man-made noise



environmental sound



biological sound



⇒ **Image**
separate effects
infer quantities

Sound speed in the ocean

the speed of sound in the ocean was measured for the first time by Colladon and Sturm in 1827, in the lake of Geneva, Switzerland, having a value close to 1500 m/s, but varying with pressure (depth), with temperature and with salinity according to an empirical formula given by:

$$c = 1449.2 + 4.6T - 0.055T^2 + 0.00029T^3 + (1.34 - 0.010T)(S - 35) + 0.016z$$

where

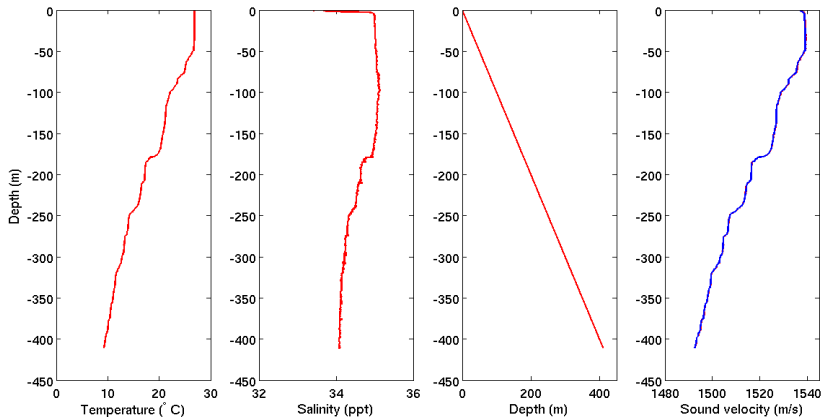
c = sound speed (m/s)

T = temperature ($^{\circ}\text{C}$)

S = salinity (ppt)

z = depth (m)

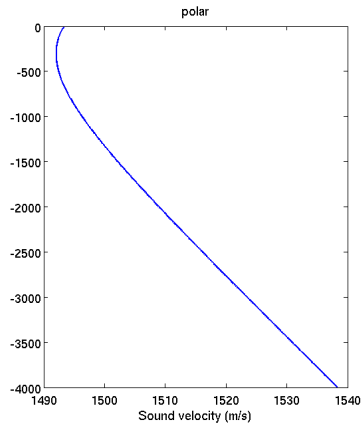
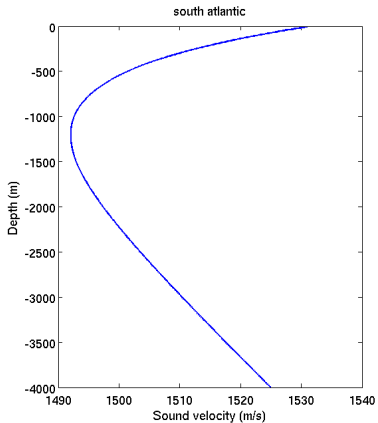
Calculating the sound speed profile



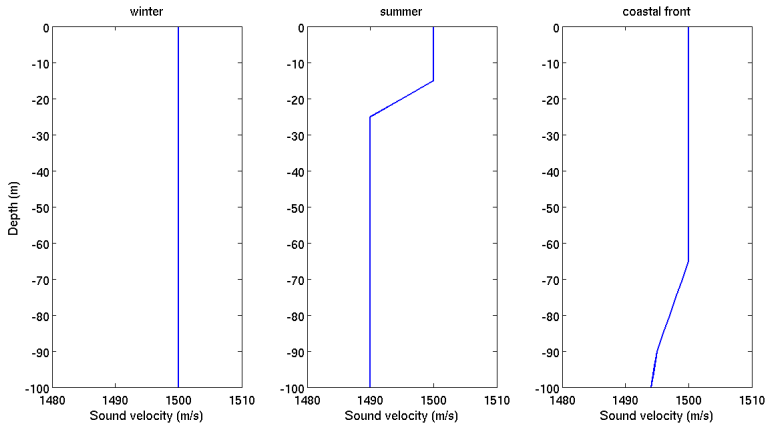
Sound speed variability :

- latitude
- year season
- ocean agitation
- currents/fronts/topography

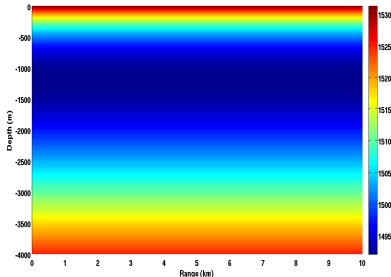
Typical sound speed profiles (1)



Typical sound speed profiles (2)



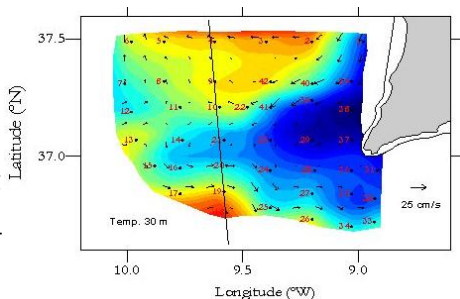
Ocean stratification



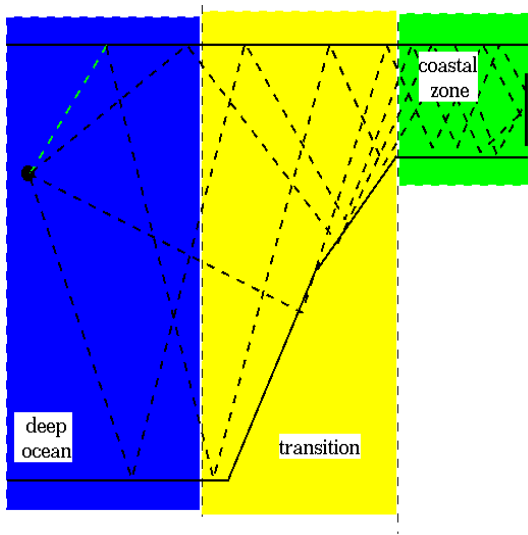
- high variability of sound speed in the vertical but relatively constant in the horizontal
- forming a deep sound channel propagation (DSC = deep sound channel) associated with the minimum of the sound speed profile
- variation of the DSC with latitude
- disappearing of DSC in coastal areas with energy redistribution

Sound speed variability

- depends on density:
temperature, pressure and salinity
- non-isotropic media
- in depth
 - strongly stratified
 - rapidly varying
- in range-cross range
 - slow variability
 - region latitude
 - eddies, fronts, upwelling
 - salinity (rivers)
 - bathymetry effects on T



Transition zone



- topography effect
- currents and tide
- internal tides
- energy concentration

The effect of water depth

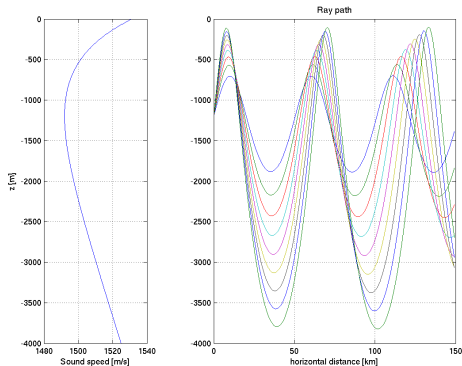


shallow water

- guided wave
- interaction with boundaries
- nature of boundaries
- frequency

deep water

- refraction
- dependence from water column
- close to free space



Boundary reflections

- reverberation/multipath
- surface effects
 - surface waves
 - surface scattering
- bottom reflections
 - multiple layers
 - sound speed gradient
 - high-speed

Sound Pressure Level (SPL)

$$\text{SPL}_{\text{dB}} = 10 \log_{10} \frac{p_{\text{rms}}^2}{p_{\text{ref}}^2}$$

where $p_{\text{ref}} = 1 \mu \text{ Pa}$ ($20 \mu \text{ Pa}$ in the air) and

$$p_{\text{rms}}^2 = \frac{1}{T} \int_t^{t+T} p^2(\tau) d\tau$$

Transmission loss (TL) calculation is given by:

$$\text{TL}_{\text{dB}} = 10 \log_{10} \frac{p_{\text{source}}^2}{p_{\text{receiver}}^2}$$

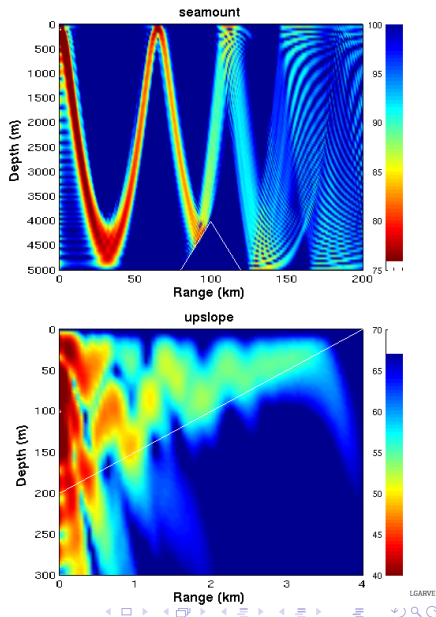
- in shallow water \Rightarrow by border interaction
- in deep water \Rightarrow by cylindrical attenuation with distance

Signal attenuation with distance

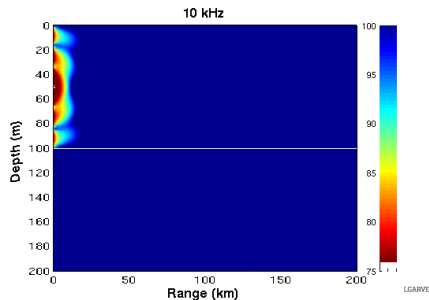
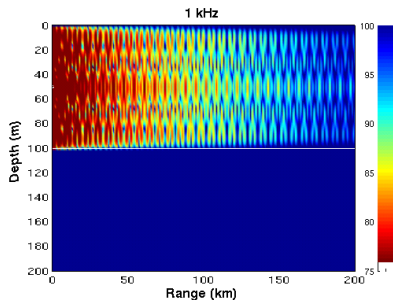
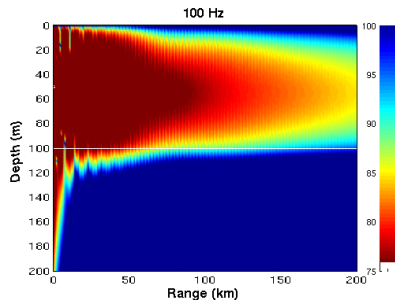
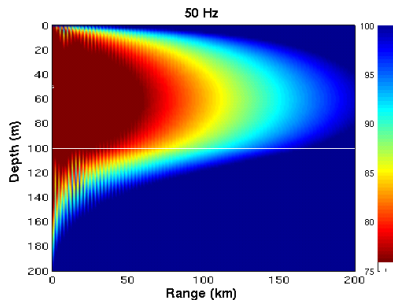
Sound absorption

- cylindrical spreading
 - with distance
 - frequency
 - bottom interaction
- bottom effects
 - along range bathymetry
 - bottom type
 - bottom layering
- with frequency

Model: C-Snap; $F=50$ Hz
(seamount),
 $F=25$ Hz (upslope)



Sound propagation: frequency dependence



Sonar equation (1)

SONAR *SOund NAvigation and Ranging*: the sonar equation has the objective to provide a simple method for determining the detection level of a given target in real conditions.

Active sonar in noise **$DT = SL + DIt + TS - 2TL - (NL-DI)$**

Active sonar in
reverberation **$DT = SL + DIt + TS - 2TL - RL$**

Passive sonar **$DT = SL + DI_s - TL - (NL-DI)$**

DT = detection threshold

SL = source level

DIt/s = target/source directivity index

TS = target strength

TL = transmission loss

NL = noise level

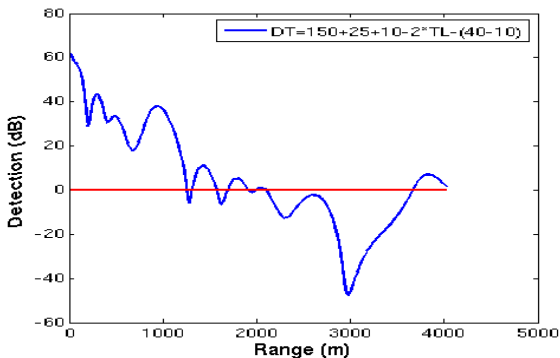
RL = reverberation level

DI = directivity index (receiver)

Sonar equation (2)

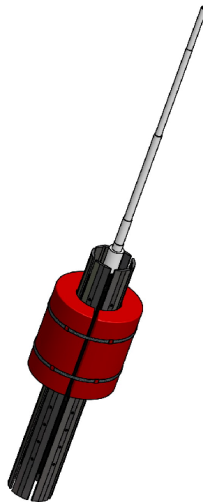
Example: we want to detect a target with an active sonar with a transmit power of $SL = 150$ dB, a directivity index of the receiver $DI = 10$ dB, a $TS = 10$ dB, and a $DIt = 25$ dB in an ambient noise $NL = 40$ dB,

Active sonar in noise **$DT = SL + DIt + TS - 2TL - (NL - DI)$**

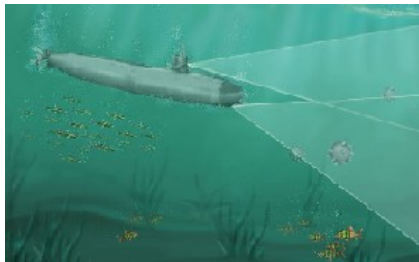
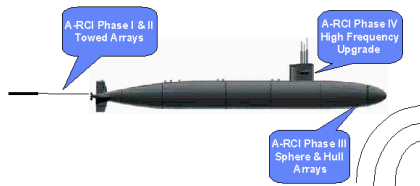


Applications

- active and passive sonar
- sidescan and multibeam sonar
- echosounding and fishing
- oil and geotechnical exploration
- ocean thermometry and tomography
- monitoring of marine mammals
- underwater communications
- target navigation and localization
- port and waterway protection



Sonar for military usage



LF sonar: 400 - 1000 Hz

- 10 - 50 km
- long range detection
- towed or hull mounted array

MF sonar: 3000 Hz

- < 5 km
- hull sonar (*conformal*)

HF sonar: > 100 kHz

- 100 - 250 m
- mine detection
- bottom exploration

Submarine detection



USS Key West

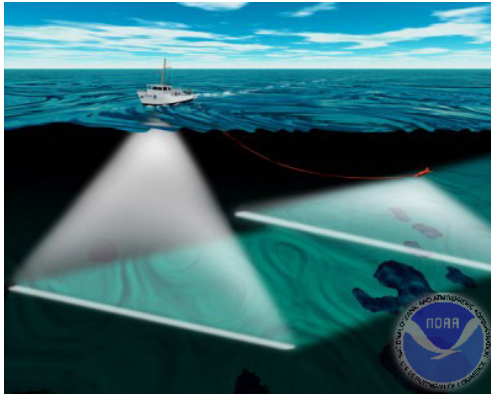
- **passive sonar:**
extremely difficult
- **active sonar:**
traditional, short range
SURTASS - LFA, upto 10 km
- **sonobuoys:**



(LOFAR)

- active / passive
- triangulation
- mono and multisensor

Sidescan and multibeam sonar



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multibeam sonar

- sweep beam
- two way travel time
- depends on depth

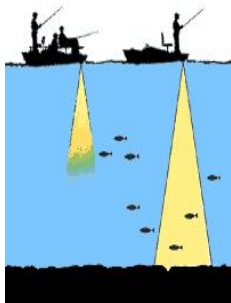
sidescan sonar

- towed
- records intensity
- difficult to navigate

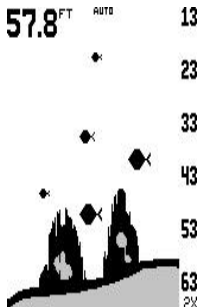
Sounding and fishing (1)

Hobby echosounding : 5 - 50 kHz, variable power; submerged objects and bottom type.

Hobby echosounding for fish detection: 20 - 200 kHz, angle and variable power.



copyright@Lowrance



swim bladder

- resonant element
- density difference
- volume

propagation conditions

- thermocline
- muddy bottom/rock/algae
- water salinity
- dissolved particles

Sounding and fishing (2)

Objective

- to intimidate or attract fish shoals

Between species

- frequency: 0.1 - 60 kHz
- sensitivity: 100 - 160 dB (re 1μ P/Hz)

Studies

- comportamental
- *in situ*, invasive



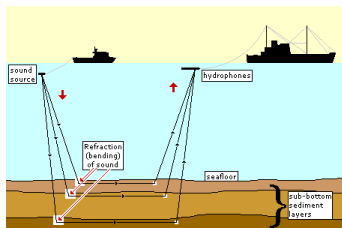
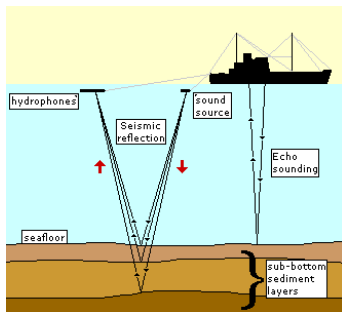
Kastelein et al. "Startle response of captive North Sea fish species to underwater tones between 0.1 and 64 kHz"

Marine Environmental Research, Elsevier, No. 65, p.369-377, 2008

Geotechnical and oil exploration (1)

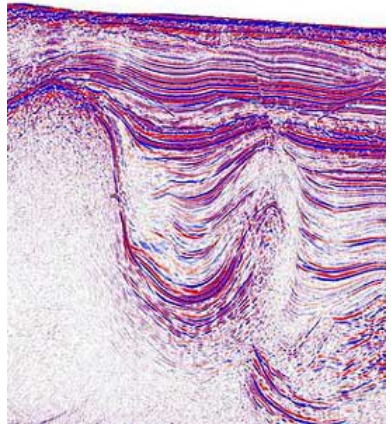
anchored or towed systems


- horizontal or vertical arrays
- impulsive (sparker/uniboom)
- reflection analysis
- *full-field* inversion
- geological or seismic studies
- sediment study



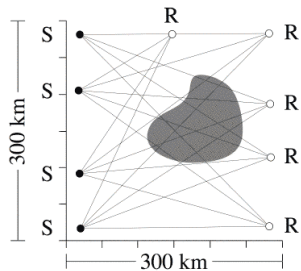
towed systems

- very long arrays (> 1 km)
- impulsive source / low freq
- reflection analysis
- geological studies
- bottom sampling
- (cores)



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Ocean thermometry and tomography



principle of medical CAT

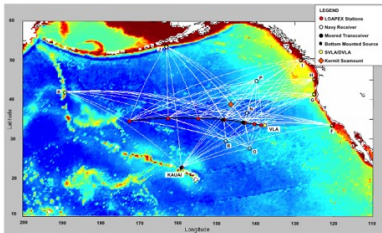
- to "illuminate" an object from multiple points
- reconstruction of the object from the received signals (inversion)

global monitoring

- mean temperature in depth and range
- resolution: 0.01°C
- scale: 3000 - 5000 km

initiatives

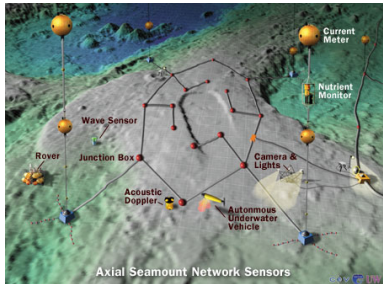
- HIFT: 1991
- ATOC: 1997-1999
- NPAL: 2002-



from NPAL website

Ocean observation

Observation network **NEPTUNE**: Canada & U.S.A.



deep water observatories

- biology, geophysics, oceanography
- T wave observation
- communications

ESONET observation network: european network (2007 - 2011)
stations in 10 countries from Norway to Turkey

- 35 partners (in Portugal: UAc, FCUL, CINTAL, UALg)
- Azores: geothermal sources

Monitoring of marine mammals

- **frequency band**

100 Hz - 200 kHz

- **sound level**

from 140 to 230 dB re μ PA

- **localization**

passive, active and visual

- **sensitivity**

frequency range and
acoustic power

- **impact**

tomography (ATOC)

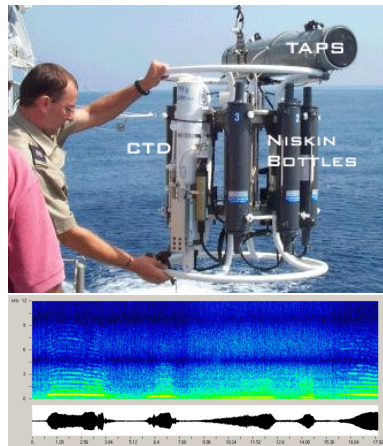
navy sonar (SURTASS-LF)

Orca

Pilot

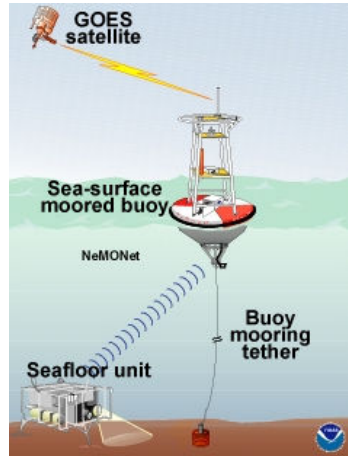
Humpback

solmar.saclantc.nato.int

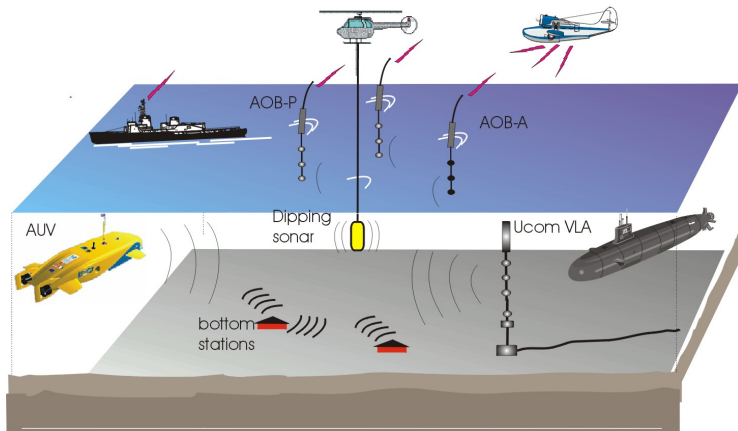


Underwater communications

- communication between subs
- communication sub-surface
- shallow water:
⇒ < 5 km, < 8 kbits/s
- or deep water
⇒ < 20 km, < 15 kbits/s
- control and command of autonomous vehicles

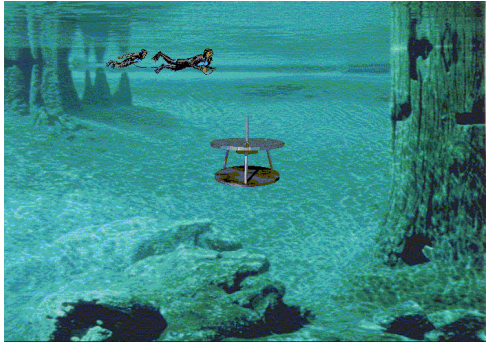


Tracking and navigation of cooperative underwater targets: AUVs



Port and waterway protection

- detection of underwater vehicles of small dimension
- diver detection
- shallow water
- network based sensor system



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Examples of acoustic signals (1)

MakaiEx Sea Trial - Kauai I., Hawai (EUA), September 2005.



Examples of acoustic signals (2)

Source: testbed/Lubell 1424



8-14 kHz



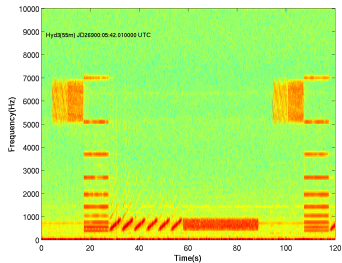
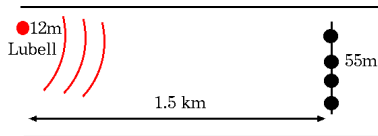
1 -8 kHz

Receiver: AOB2

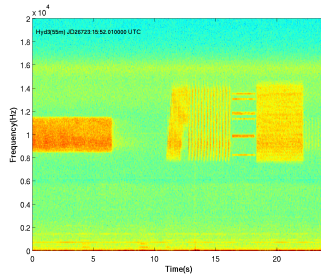
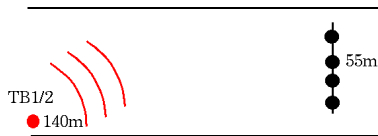


SiPLAB acoustic array: 8 hydrophones,
10 - 75 m, Band: 50 Hz - 16 kHz

Examples of acoustic signals (3)



hid3-55m



hid3-55m

References

- ① I. Tolstoy and C.S. Clay, "Ocean Acoustics - Theory and Experiments in Underwater Sound", American Institute of Physics, New York, 1987
- ② W. Munk, P. Worcester and C. Wunsch "Ocean Acoustic Tomography", Cambridge Univ. Press, New York, 1995
- ③ W.A. Kuperman and J.F. Lynch, "Shallow Water Acoustics", Physics Today, American Institute of Physics, vol.**57**, No. 10, pp.55-61, October 2004
- ④ Discovery of sound in the sea www.dosits.org
- ⑤ P.F. Worcester, W.H. Munk and R.C. Spindel, "Acoustic Remote Sensing of Ocean Gyres", Acoustics Today, American Institute of Physics, vol.**1**, No.1, pp.11-17, October 2005
- ⑥ Sound, Ocean and Living Marine Resources solmar.saclantc.nato.int
- ⑦ R.J. Ulrich, "Principles of Underwater Sound", McGraw-Hill, New York, 1983